



Balance, Falls and Fall Prevention

СИНТИЯ РОБИНСОН, ДОКТОР НАУК,
СПЕЦИАЛИСТ ПО ФИЗИЧЕСКОЙ ТЕРАПИИ
ОТДЕЛЕНИЕ РЕАБИЛИТАЦИОННОЙ МЕДИЦИНЫ
УНИВЕРСИТЕТ ВАШИНГТОНА
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США



What is a fall?

- ▶ **Fall** (Lamb, 2005 in Gillespie et al, 2015)

- ▶ An unexpected event in which the participant come to rest on the ground, floor, or lower level.
- ▶ “In the past month, have you had any fall including a slip or trip in which you lost your balance and landed on the floor or ground or lower level?”



Incidence of Falls Among Older Adults

- ▶ >33% of people over 65 years of age living in the community fall each year
 - ▶ 10% result in fall related fractures
 - ▶ 50% of these are recurrent
 - ▶ 10% of falls result in serious injury
 - ▶ Hip fracture
 - ▶ Traumatic brain injury
 - ▶ Soft tissue injury
 - ▶ Fall-related fractures in older people are a significant source of morbidity and mortality.

(Tinetti et al, JAMA, 2003; Gillespie et al, 2015)

Risk Factors for Falls Among Older Adults

- Risk factors identified in 2 or more observational studies
 - Arthritis
 - Depressive symptoms
 - Orthostasis
 - ≥4 prescription medications
 - Impairments in
 - Cognition
 - Vision
 - Balance
 - Gait
 - Muscle strength
- Fall risk among elderly people living in the community
 - 8% (no risk factors)
 - 78% (4 or more risk factors)

(Tinetti et al, JAMA, 2003)



Causes of Falls Among Older Adults

- ▶ ~15% external event that would cause most to fall
- ▶ ~15% single identifiable cause such as syncope
- ▶ ~70% multiple interacting factors

- ▶ Cochrane Review of fall prevention interventions
 - ▶ 159 randomized controlled trials
 - ▶ 79,193 participants
 - ▶ ≥65 years old, Living in the community
 - ▶ Median sample 230 participants
 - ▶ Carried out in 21 countries



Many studies have examined falls
among the elderly

.... but less is known about falls among
populations with disabilities

Prevalence of Falls Individuals Aging With a Disability

Disability	Prevalence	Peak age
Muscular Dystrophy	70%	55-64
Post Polio Syndrome	55%	55-64
Multiple Sclerosis	54%	55-64
Spinal Cord Injury	40%	45-54

► Survey, N=1862, 18-94yo

► 584 Multiple Sclerosis

► 446 Post Polio Syndrome

► 492 Spinal Cord Injury

► 340 Muscular Dystrophy

Risk Factors for Falls Individuals Aging With a Disability

Disability	Risk Factors	OR	P-value
Muscular Dystrophy	Mild mobility disability	3.44	=.001
	Mod mobility disability	4.19	=.001
	Current imbalance severity rating	1.18	<.001
Multiple Sclerosis	Mod mobility disability	4.08	<.001
	Severe mobility disability	2.62	=.002
	Number of comorbid conditions	1.24	<.001
	Current imbalance severity rating	1.14	<.001
Spinal Cord Injury	Mild mobility disability	6.01	=.002
	Mod mobility disability	6.11	<.001
	Number of comorbid conditions	1.18	=.020
Post Polio Syndrome	Vision trouble	1.81	=.004

Risk Factors for Falls in Individuals with Multiple Sclerosis

Risk Factors	OR (CI)	P-value
Use of a cane or walker	2.62 (1.66-4.14)	<.001
Low income (<\$25,000 per year)	1.85 (1.13-3.04)	.014
Balance problems	1.28 (1.11-1.49)	.001
Weakness in legs	1.26 (1.09-1.46)	.001

- Survey, 455 respondents, 265 reported falls
Results based on fallers only



Causes of Falls in Individuals with Multiple Sclerosis

Precipitating Factor	% reporting
Transfers	85%
Ambulation	62%
Rising to Stand	37%
Stairs and Curbs	36%
Other	22%
Exercise/Physical Activity	10%

Perceived Reasons for Falls in Individuals with Multiple Sclerosis

Reason	% reporting
Trip or Slip	48%
Tired or Fatigued	47%
Inattention	30%
Rushing or Hurrying	26%
Not using walking aid	23%
Dizzy/lightheaded	22%
Carrying something	13%
Trouble seeing	7%

Prevalence of Falls Following Stroke

Time	Prevalence
Baseline	64%
3-month	35%
6-month	26%
12-month	33%
6-year	35%

Results based on 121 of original 349 participants
(166 deceased)

N=121, 77 fallers & 44 non-fallers

Predictors of Falls Following Stroke

Variable	OR (CI)	P-value
Stroke Impact Scale ADL (↓ 15pts= MCID)	1.37 (1.04-1.80)	.025
Fall at 3 months	1.00 (1.01-3.94)	.046
Gait/balance disability at 3 months	0.35 (0.12-0.99)	.047
Gait/balance disability at 12 months	0.31 (0.12-0.81)	.017
NO gait/balance disability at 6 months	7.29 (1.99-26.73)	.003
NO gait/balance disability at 12 months	2.39 (1.05-5.45)	.038

Minet et al, 2015

- Association of severity of mobility limitations to fall risk
 - Higher in individuals with moderate disability than those with very poor or very good mobility.

Yates et al, 2002



Consequences of Falls In Older Adults

- ▶ Injury
 - ▶ Bruise
 - ▶ Fracture
 - ▶ Traumatic Brain Injury
- ▶ Psychological
 - ▶ Fear of falling
 - ▶ Loss of confidence
 - ▶ Self restricted activity
 - ▶ Reduction in physical function
 - ▶ Reduction in social interaction



“Clinical Guidance Statement” on Management of Falls

- ▶ Physical therapists *should* provide an individualized assessment within the scope of physical therapy practice that contributes to a multifactorial assessment of falls and fall risk.
- ▶ Clinical Practice Guideline- recommendations intended to optimize patient care that are informed by a systematic review of evidence and an assessment of the benefits and harms of alternate care options
- ▶ “Clinical Guidance Statement” is based on systematic review of “Clinical Practice Guidelines”



Clinical Guidance Statement on Management of Falls

- ▶ Medication review
- ▶ Medical history
- ▶ Body structure and functions, activity and participation, environmental factors and personal factors

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Clinical Guidance Statement on Management of Falls

- ▶ Medication review- emphasis on
 - ▶ Polypharmacy
 - ▶ Psychoactive drugs
 - ▶ Cardiac medications- anti-arrhythmics
- ▶ Medical history
- ▶ Body structure and functions, activity and participation, environmental factors and personal factors



Clinical Guidance Statement on Management of Falls

- ▶ Medication review
- ▶ Medical history
 - ▶ Osteoporosis
 - ▶ Depression- Geriatric Depression Scale
 - ▶ Cardiac disease- heart rate and rhythm, blood pressure, postural response
- ▶ Body structure and functions, activity and participation, environmental factors and personal factors



Clinical Guidance Statement on Management of Falls

- ▶ Medication review
- ▶ Medical history
- ▶ Body structure and functions, activity and participation, environmental factors and personal factors
 - ▶ Strength
 - ▶ Balance
 - ▶ Cognitive Function- mental status
 - ▶ Neurologic Function- peripheral neuromuscular function
 - ▶ Vision
 - ▶ Urinary function and incontinence

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Clinical Guidance Statement on Management of Falls

- ▶ Medication review
- ▶ Medical history
- ▶ Body structure and functions, activity and participation, environmental factors and personal factors
 - ▶ Gait- identify deficits and use of assistive device
 - ▶ Activities of Daily Living (ADL) and Instrumental Activities of Daily Living (IADL)
 - ▶ Physical Activity
 - ▶ Moderate- protective
 - ▶ High (not defined) and low (less than once per week) are risk factors

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Clinical Guidance Statement on Management of Falls

- Medication review
- Medical history
- Body structure and functions, activity and participation, environmental factors and personal factors
 - Home safety factors- loose rugs or mats and other trip hazards
 - Perceived functional ability and fear of falling
 - Social support
 - Alcohol use
 - Feet and footwear

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Clinical Guidance Statement on Management of Falls

- ▶ Physical therapists *must* provide individualized interventions that address all positive risk factors within the scope of physical therapist practice
 - ▶ Level 1 evidence
 - ▶ Strength training
 - ▶ Balance training
 - ▶ Gait training
 - ▶ Correction of environmental hazards
 - ▶ Level II evidence
 - ▶ Correction of footwear and structural impairments of the feet (low heel height and high surface contact area)
- ▶ Optimal outcomes achieved when interventions are integrated

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Systematic Review: Interventions to Reduce Falls

- Medication
- Education
- Environmental
 - Environment/assistive technology
 - Social environment
- Surgery
 - cataract
 - pacemaker
- Manage urinary incontinence
- Fluid or nutrition therapy
- Psychological interventions
- Exercise
 - 59 trials, 13,264 participants
 - Gait, balance & functional training
 - Strength/resistance training
 - 3-dimensional training (Tai Chi)
 - General physical activity (walking)
 - Flexibility (none in review)
 - Endurance (none in review)



Systematic Review: Summary of Results

Multiple-Component Group Exercise				
Rate of falls	RaR 0.71	95% CI 0.63-0.82	16 trials	3,622 participants
Risk of falls	RR 0.85	95% CI 0.76-0.96	22 trials	5,333 participants

Multiple-Component Home Based Exercise				
Rate of falls	RaR 0.68	95% CI 0.58-0.8	7 trials	951 participants
Risk of Falls	RR 0.78	95% CI 0.64-0.94	6 trials	714 participants

Systematic Review: Summary of Results

Tai Chi				
Rate of Falls	RaR 0.72	95% CI 0.52-1.00	5 trials	1,563 participants
Risk of Falls	RR 0.71	95% CI 0.57-0.87	6 trials	1,625 participants

Overall Exercise Interventions- Fall-related Fracture				
Risk of Falls	RR 0.34	95% CI 0.18-0.63	6 trials	810 participants



Systematic Review: Summary of Results

Multi-factorial with Individual Risk Assessment

Rate of falls	RaR 0.76	95% CI 0.67-0.86	19 trials	9,503 participants
Risk of falls	RR 0.93	95% CI 0.86-1.02	34 trials	13,617 participants

Home Safety Assessment and Modification

Rate of falls	RaR 0.81	95% CI 0.68-0.97	6 trials	4,208 participants
Risk of falls	RR 0.88	95% CI 0.80-0.96	7 trials	4,051 participants

Systematic Review: Summary of Results

Treatment Vision Problems				
Rate of falls	RaR 1.57	95% CI 1.19-2.06	1 trials	616 participants
Risk of falls	RR 1.54	95% CI 1.24-1.91	1 trials	616 participants

Pacemaker for Carotid Sinus Hypersensitivity				
Rate of falls	RaR 0.73	95% CI 0.57-0.93	3 trials	349 participants

First Cataract Surgery				
Rate of falls	RaR 0.66	95% CI 0.45-0.95	1 trials	306 participants



Systematic Review: Summary of Results

Gradual Withdrawal of Psychotropic Medication

Rate of falls	RaR 0.34	95% CI 0.16-0.73	1 trials	93 participants
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Prescribing Modification Program for Doctors

Rate of falls	RR 0.61	95% CI 0.41-0.91	1 trials	659 participants
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Anti-Slip Shoe Device in Icy Conditions

Rate of falls	RaR 0.42	95% CI 0.22-0.78	1 trials	109 participants
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Determining Fall Risk

Berg Balance Test

- ▶ 14 item test of static, dynamic, and proactive balance.
- ▶ Interpretation: Maximum score 56
 - ▶ 41-56 = low fall risk
 - ▶ 21-40 = medium fall risk
 - ▶ 0-20 = high fall risk
 - ▶ 56-54 each 1 point drop = 3-4% increase in fall risk
 - ▶ 54-46 each 1 point drop = 6-8% increase in fall risk
 - ▶ < 36 fall risk is close to 100%
- ▶ Psychometric properties
 - ▶ Sensitivity = 64%
 - ▶ Specificity = 90%

Determining Fall Risk

Timed “Up and Go”

- ▶ Sit with back against chair, stand, walk 10 feet, return to sit with back against chair
 - ▶ Self-selected speed
 - ▶ Fast speed
 - ▶ Dual task
- ▶ Interpretation
 - ▶ Normative Values:
 - <10 seconds: normal
 - >15 seconds: increased risk for falls

Age (years)	Time (s) to complete TUG (95% CI)
60-69	8.1 (7.1 – 9.0)
70-79	9.2 (8.2 – 10.2)
80-99	11.3 (10.0 – 12.7)



Determining Fall Risk







Performance Oriented Mobility Assessment

- ▶ Combined assessment of
 - ▶ Gait
 - ▶ Balance
- ▶ Interpretation
 - ▶ 28 points = maximum scores
 - ▶ gait = 12 points.
 - ▶ balance = 16 points.
 - ▶ 19-24 = low fall risk
 - ▶ <19 = high fall risk

Determining Cause of Imbalance

Clinical Test of Sensory Integration and Balance

- ▶ Subject stands feet together, arms folded
 - ▶ Each condition held for 30 seconds
- ▶ Interpretation
 - ▶ Vision dependent- unstable in conditions 2, 3, 5 & 6 (eyes closed or conflict between vision and vestibular)
 - ▶ Somatosensory dependent- unstable in conditions 4,5 & 6 (compliant surface)
 - ▶ Vestibular loss- unstable in conditions 5 & 6 (can not rely on vision or somatosensory function)
 - ▶ Sensory selection problems- unstable in conditions 3-6 (conflicting sensory input)

	Eyes Open	Eyes Closed	Sway-Referenced Vision
Fixed-Foot Support	 1	 2	 3
Compliant-Foot Support	 4	 5	 6

Determining Cause of Imbalance

Clinical Test of Sensory Integration and Balance

- ▶ Equipment requirements
 - ▶ Dense foam
 - ▶ 15cm high, 30cmx60cm
 - ▶ “Dome” or goggles
 - ▶ Allows eyes to be open
 - ▶ Test can be performed with eyes closed if unavailable






Thank You



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